

EN

ANNEX II

Information regarding State aid exempt under the conditions of this Regulation

PART I

to be provided through the established EFTA Surveillance Authority IT application as laid down in Article 11

Aid reference	GBER 11/2016/R&D&I	
EFTA State	Norway	
EFTA State reference number	
Region	Name of the Region(s) (NUTS¹) All regions are eligible.	Regional aid status² n.a.
Granting authority	Name	The Ministry of Local Government and Modernisation, all county administrations and all programme secretariats.
	Postal address	P.O. Box 8112 Dep, 0032 OSLO, Norway
	Web address	www.regjeringen.no/kmd
Title of the aid measure	Interreg research and development and innovation aid scheme 2014-2020. This includes all programmes with Norwegian participation, including 1. Interreg V A a. Nord/Sapmi (Norge-Finland-Sverige) b. Botnia-Atlantica (Norge-Finland-Sverige) c. Sverige-Norge d. Øresund-Kattegat-Skagerrak (Sverige-Danmark-Norge) 2. Interreg V B a. Østersjøprogrammet b. Nordsjøprogrammet c. Nordlig periferi og arktis	

¹ NUTS - Nomenclature of Territorial Units for Statistics. Typically, the region is specified at level 2.

² Article 61(3)(a) of the EEA Agreement (status 'A'), Article 61(3)(c) of the EEA Agreement (status 'C'), unassisted areas i.e. areas not eligible for regional aid (status 'N').

	3. Interreg V C a. URBACT b. ESPON c. INTERACT d. Interreg Europe 4. ENPI Kolarctic	
National legal basis (Reference to the relevant national official publication)	<ul style="list-style-type: none"> • Guidelines for chapter 552 post 72 – National measures for regional development³ • Guidelines for chapter 551 post 60 and 61 – Regional measures for rural and regional development⁴ • Regulation on regional aid funds⁵ (FOR-2013-12-11-1574). • Annual national budgets • Annual grant offer letters 	
Web link to the full text of the aid measure	https://www.regjeringen.no/globalassets/upload/krd/oppdragsbrev/oppdragsbrev_vma_2014/vedlegg1_retningslinjer_post_72_2014.pdf https://www.regjeringen.no/contentassets/e686a5fe5aa645528ab16d85e3d01d1d/retningslinjer_kap_551_post_60_og_61-bokmal.pdf http://lovdata.no/dokument/SF/forskrift/2013-12-11-1574 https://www.regjeringen.no/no/dokumenter/Prop-1-S-20142015/id2005447/?ch=6#VED1-3	
Type of measure	<input checked="" type="checkbox"/> Scheme <input type="checkbox"/> Ad hoc aid	Name of the beneficiary and the group⁶ it belongs to
Amendment of an existing aid scheme or ad hoc aid	<input type="checkbox"/> Prolongation <input type="checkbox"/> Modification	EFTA Surveillance Authority aid reference
Duration⁷	<input type="checkbox"/> Scheme	01/06/2016 to 31/12/2020
Date of granting⁸	<input type="checkbox"/> Ad hoc aid	dd/mm/yyyy
Economic sector(s) concerned	<input checked="" type="checkbox"/> All economic sectors eligible to receive aid	Eligible sectors will comply with the GBERs common provisions, except for sectors defined in article 1, paragraph 3

³ Retningslinjer for kapittel 552 post 71 – Nasjonale tiltak for regional utvikling

⁴ Retningslinjer for distrikts og regionalpolitiske virkemidler

⁵ Forskrift for distrikts- og regionalpolitiske virkemidler.

⁶ An undertaking for the purposes of rules on competition laid down in the Treaty and for the purposes of this Regulation is any entity engaged in an economic activity, regardless of its legal status and the way in which it is financed. The Court of Justice has ruled that entities which are controlled (on a legal or on a de facto basis) by the same entity should be considered as one undertaking.

⁷ Period during which the granting authority can commit itself to grant the aid.

⁸ Determined in line with Article 2, point 27 of the Regulation.

		(a) – (c), in the case that these fall out of the scope of the EEA agreement.	
	<input type="checkbox"/> Limited to certain sectors: Please specify at NACE group level⁹	
Type of beneficiary	<input checked="" type="checkbox"/> SME		
	<input checked="" type="checkbox"/> Large undertakings		
Budget	Total annual amount of the budget planned under the scheme¹⁰	No fixed budget, but annual aid will not exceed 20 million NOK.	
	Overall amount of the <i>ad hoc</i> aid awarded to the undertaking¹¹	National currency... (full amounts)	
	<input type="checkbox"/> For guarantees¹²	National currency... (full amounts)	
Aid instrument	<input checked="" type="checkbox"/> Grant/Interest rate subsidy		
	<input type="checkbox"/> Loan/Repayable advances		
	<input type="checkbox"/> Guarantee (where appropriate with a reference to the EFTA Surveillance Authority decision¹³)		
	<input type="checkbox"/> Tax advantage or tax exemption		
	<input type="checkbox"/> Provision of risk finance		
	<input type="checkbox"/> Other (please specify) Indicate to which broad category below it would fit best in terms of its effect/function: <input type="checkbox"/> Grant <input type="checkbox"/> Loan <input type="checkbox"/> Guarantee <input type="checkbox"/> Tax advantage <input type="checkbox"/> Provision of risk finance		
<input type="checkbox"/> If co-financed by EU fund(s)	Name of EU fund(s):	Amount of funding (as per EU fund)	National currency... (full amounts)

⁹ NACE Rev. 2 - Statistical classification of Economic Activities in the European Community. Typically, the sector shall be specified at group level.

¹⁰ In case of an aid scheme: Indicate the annual overall amount of the budget planned under the scheme or the estimated tax loss per year for all aid instruments contained in the scheme.

¹¹ In case of an *ad hoc* aid award: Indicate the overall aid amount/tax loss.

¹² For guarantees, indicate the (maximum) amount of loans guaranteed.

¹³ Where appropriate, reference to the EFTA Surveillance Authority decision approving the methodology to calculate the gross grant equivalent, in line with article 5(2)(c) of the Regulation.

PART II

to be provided through the established EFTA Surveillance Authority IT application as laid down in Article 11

Please indicate under which provision of the GBER the aid measure is implemented.

Primary objective - General Objectives (list)	Objectives (list)		Maximum aid intensity in % or Maximum annual aid amount in national currency (in full amounts)	SME - bonuses in %
Aid for research, development and innovation (Arts. 25 - 30)	Aid for research and develop- ment projects (Art. 25)	X Fundamental research (Art. 25(2)(a))	100 %	...%
		X Industrial research (Art. 25(2) b))	65 %	20 %
		X Experimental development (Art. 25(2)(c))	40 %	20 %
		X Feasibility studies (Art. 25(2)(d))	50 %	20 %
	X Investment aid for research infrastructures (Art. 26)		50 %	...%
	X Aid for innovation clusters (Art. 27)		55 % ¹⁴ /50 % ¹⁵	...%
	X Innovation aid for SMEs (Art. 28)		100 %	...%
	X Aid for process and organisational innovation (Article 29)		15 %	35 %
	X Aid for research and development in the fishery and aquaculture sector (Art. 30)		100 %	...%

¹⁴ Investment aid

¹⁵ Operating aid