

# **INTERREG BALTIC SEA REGION 2021-2027 Methodology for the establishment of the Performance Framework**

Version of 29/04/2022

## Disclaimers:

- *Financial figures included in the Methodology are to be considered the latest available.*
- *Information on calls planning, apart from the first calls, are to be considered the latest available; it will be discussed again with the Monitoring Committee and adapted throughout the Programme implementation.*

## Table of contents

1. INTRODUCTION TO THE INTERVENTION LOGIC .....	3
1.1 Programme objective, value statements, structure .....	3
1.2 Intervention logic .....	3
1.2.1 For Priority 1-3.....	3
1.2.2 For Priority 4.....	4
For Programme objective 4.1 .....	4
For Programme objective 4.2 .....	5
2. PERFORMANCE FRAMEWORK.....	6
2.1 Considerations for setting the methodology .....	6
2.1.2 Rationale on the selection of indicators .....	7
2.2 Selected output indicators and result indicators .....	8
2.2.1 For Priority 1-3.....	8
Definitions .....	9
2.2.2 For Priority 4.....	10
For Programme objective 4.1 .....	10
Definitions .....	10
For Programme objective 4.2 .....	10
Definitions .....	11
2.3 Data for the calculation of targets and milestones.....	11
2.3.1 Assumptions and preliminary calculations.....	12
Targets.....	12
Milestones .....	13
2.3.2 Performance framework quantifications for Specific Objectives .....	15
3. INTERVENTION FIELD.....	24
4. DOCUMENTATION OF ACHIEVEMENTS.....	41
4.1 Data collection.....	41
4.2 Influencing factors.....	42
4.2.1 On projects performance .....	42
4.2.2 On data reporting and collection .....	43
5. ANNEXES.....	44

## 1. INTRODUCTION TO THE INTERVENTION LOGIC

This paper presents the methodology applied for establishing Interreg Baltic Sea Region 2021-2027 performance framework. It provides an overview of the selected output and result indicators, as well as of the set milestones and targets. It includes information on the data that will be used and calculations supporting the target setting, and considerations on possible influencing factors. These elements will allow the Programme to monitor, evaluate and report on its performance.

### 1.1 Programme objective, value statements, structure

The overall objective of Interreg Baltic Sea Region 2021-2027 Programme is to **“put into practice innovative, water-smart and climate-neutral solutions, through transnational cooperation for the benefit of the citizens across the Baltic Sea region”**. **Supporting transition, customer orientation as well as transfer of knowledge and capacity building** are the value statements that guide all actions to be funded. The key challenges and opportunities that countries share and want to address through transnational cooperation are exemplified by the priorities and Programme objectives, around which Interreg Baltic Sea Region revolves for the period 2021-2027:



Figure 1: Programme priorities, programme objectives and specific objectives<sup>1</sup>

### 1.2 Intervention logic

The intervention logic of the Programme is built upon the Programme strategy: the challenges and opportunities it aims to address, the objectives it aims to reach and the added value and changes that projects, which it will finance, can bring to the region.

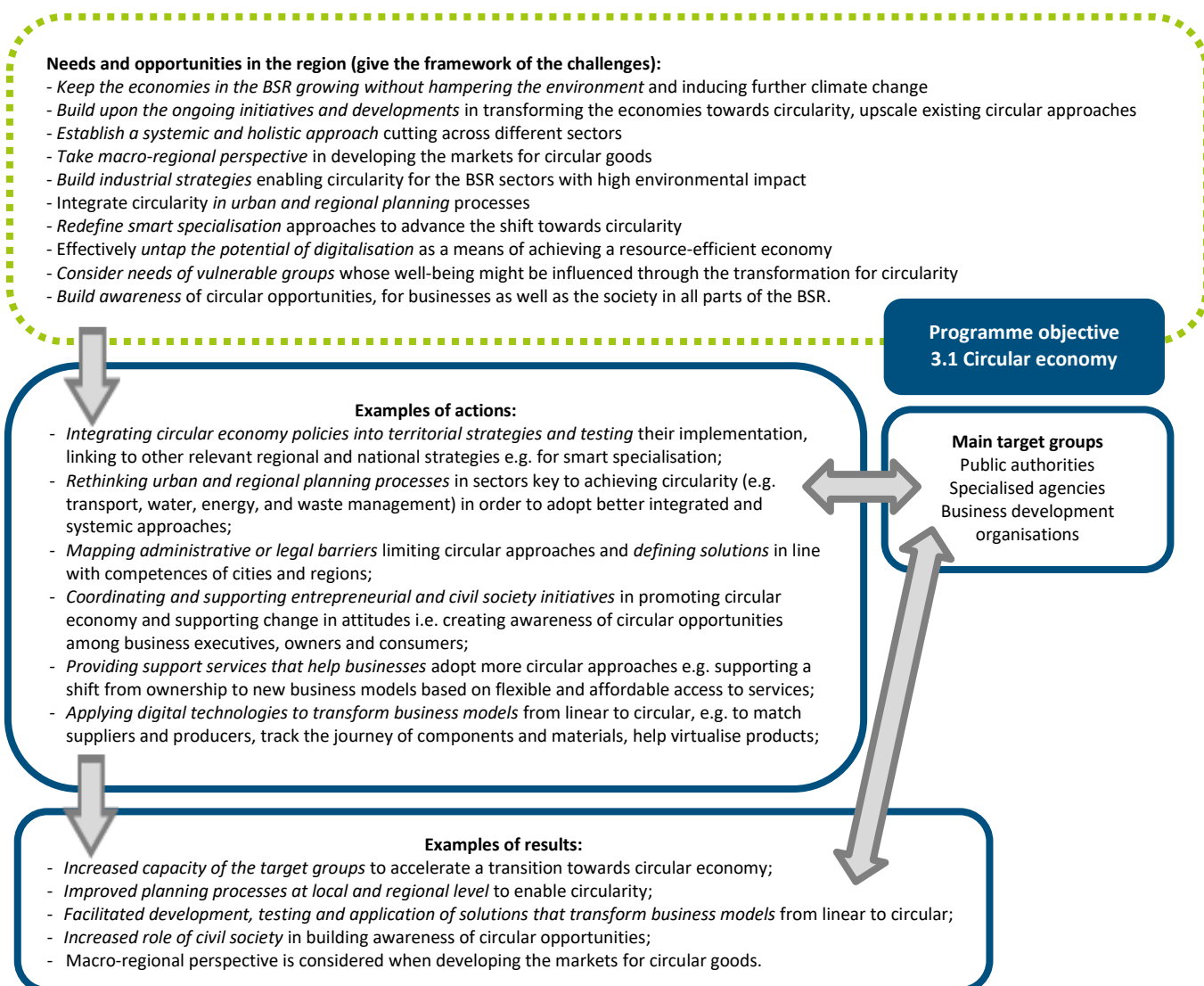
#### 1.2.1 For Priority 1-3

For priorities 1-3, the focus of Interreg Baltic Sea Region intervention is on **solutions** addressing the defined challenges and on **development of capacities** to address these challenges. In this light, two different project types have been defined: **core projects** are the main implementing tool while **small projects** are meant to facilitate an easier access to the Programme, via simpler application and implementation processes.

<sup>1</sup> Shortened names and codes as used in SFC2021, not as set in the Regulations.

All core projects are requested to prepare, pilot and transfer solutions to a challenge that they choose to tackle and, by this, increase capacities of target groups. Small projects are expected to increase capacities as well, even if the development of solutions is not an obligatory requirement. **Target groups** are at the centre of this intervention. Target groups are the organisations which, making use of the solutions developed in the projects, increase their capacities to address the challenges. Hence, reaching out to target groups and involving them in solutions preparation, piloting, evaluation and uptake (via transfer) is the key to achieve the Programme aims.

The figure below displays an example of how the intervention outlined above works in one of the Programme objectives:



## 1.2.2 For Priority 4

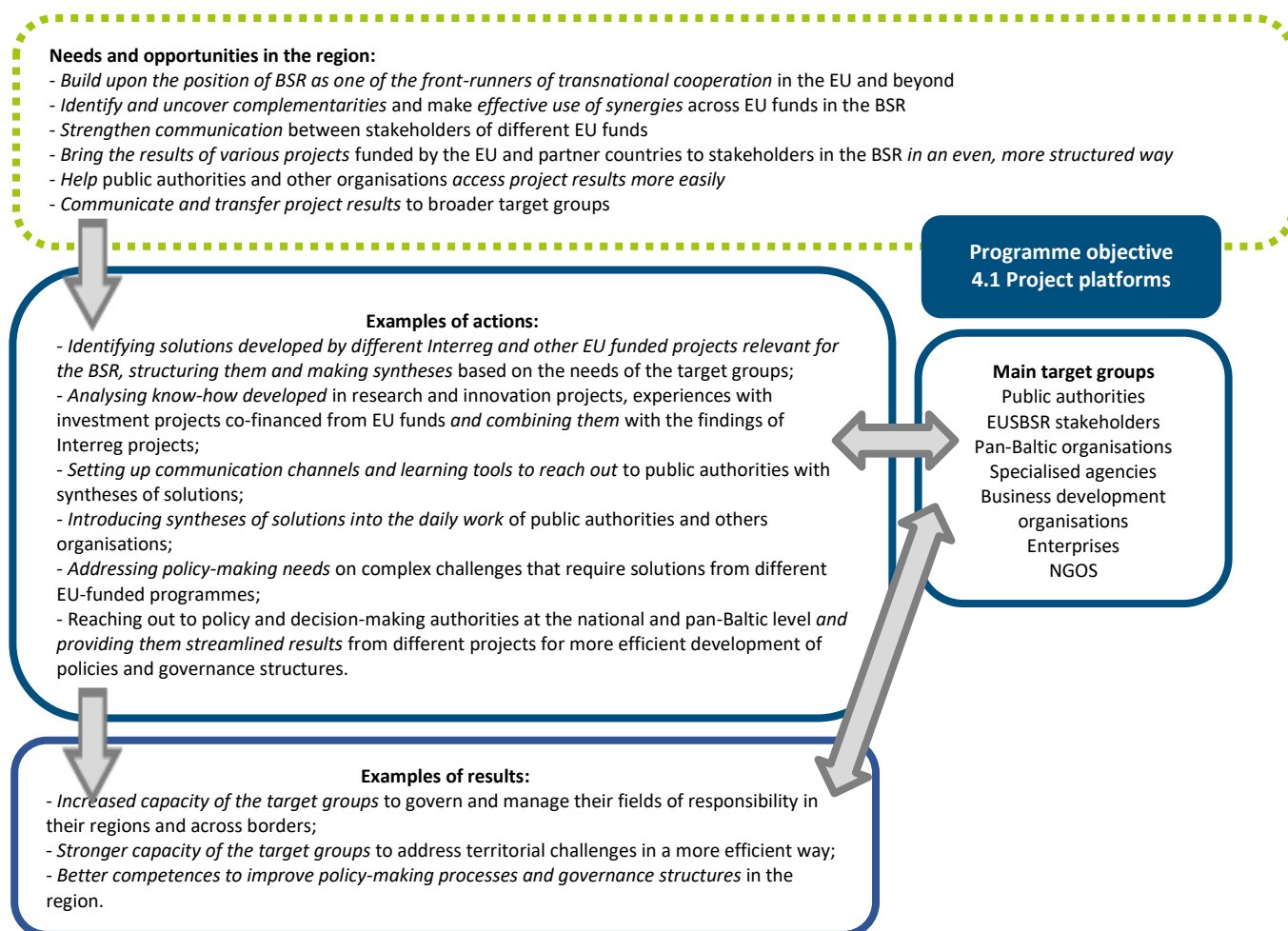
### For Programme objective 4.1

The aim of Programme objective 4.1 **project platforms** is to create synergies and support cooperation among project partners from different EU-funded programmes in the region. Platforms have to identify a challenge corresponding to a Programme objective in one of the

Programme thematic priorities 1-3, connect results of various projects and synthesise them, for helping different organisations to use these solutions. By this, platforms increase capacity of the target groups of the projects participating in the platform and help those target groups address challenges in the region.

**Target groups** are at the centre also of project platforms intervention. Furthering the use of projects' results/solutions by their target groups is the aim of platforms. In other words, target groups are addressed to make use of synthesized solutions and so build capacity to tackle a specific challenge or opportunity in the region.

The figure below displays how the intervention outlined above works for Programme objective 4.1 "Project platforms: to connect results from different EU funding programmes and make them work":



## For Programme objective 4.2

Through Programme objective 4.2, Interreg Baltic Sea Region continues **supporting** the governance, coordination and communication of **the European Union Strategy for the Baltic Sea Region** (EUSBSR). A well-functioning governance structure is crucial for the implementation of a macro-regional strategy.

The focus of this intervention is on supporting actions to govern, coordinate and communicate the EUSBSR, its action plan, progress and achievements.

Thus, the centre of this intervention are the target groups representing organisations designated to implement selected governance, coordination and communication functions in the EUSBSR action plan (e.g. coordinators of the policy areas of the EUSBSR (PACs), organisations responsible for implementing Strategy Forums as well as setting up and running a Strategy point). With the additional support from the Programme, the target groups are able to deliver their tasks adequately.

Under this Programme objective, Interreg Baltic Sea Region encourages also to enhance the cooperation with the partner countries.

The figure below displays how the intervention outlined above works for Programme objective 4.2 “Macro-regional governance: to strengthen governance, coordination and communication of the EU Strategy for the Baltic Sea region”:

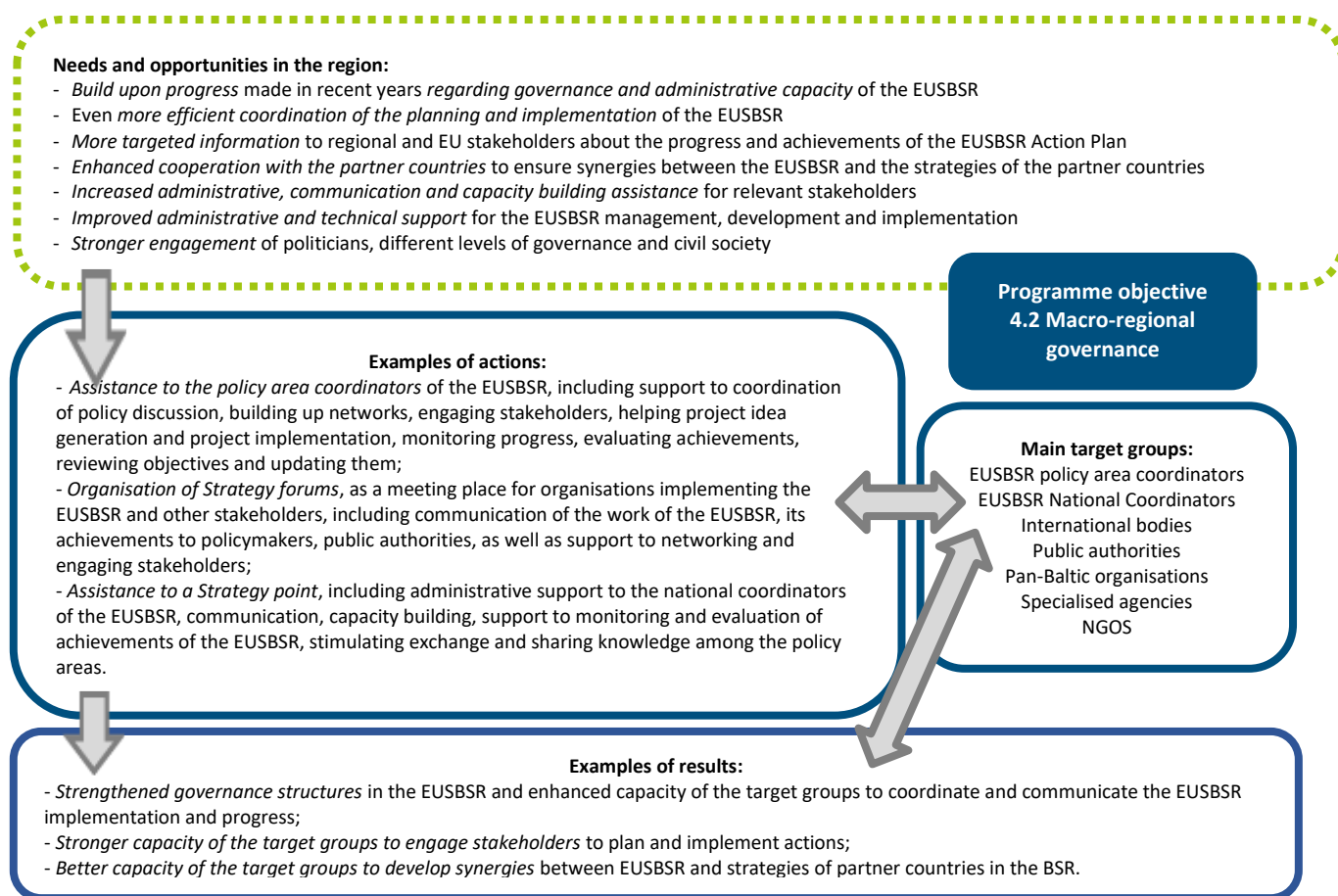


Figure 4: Interreg Baltic Sea Region 2021-27 intervention logic applied to Programme objective 4.2

## 2. PERFORMANCE FRAMEWORK

### 2.1 Considerations for setting the methodology

Interreg Baltic Sea Region 2021-27 strategy and intervention logic, as described above, constitute the basis for establishing the performance framework. As a matter of fact, the aim is to capture

the effects of the actions, which will be implemented through the Programme funds, and to have a clear correlation between outputs and results.

The **Interreg Regulation<sup>2</sup>**, the **Common Provision Regulation<sup>3</sup>** and the **guidance provided by the European Commission** were taken as main guiding references. In particular:

- According to the CPR “(13) ‘output indicator’ means an indicator to **measure the specific deliverables of the intervention**”; “(14) ‘result indicator’ means an indicator to **measure the effects of the interventions supported, with particular reference to the direct addressees, population targeted or users of infrastructure**” (Art.2);

- The European Commission, DG REGIO Evaluation Unit provided inputs, as well as the guidelines and recommendations, including to select a limited number of output and result indicators, and to make use as much as possible of the **Common Interreg indicators**, as listed in the annex of the ERDF regulation.

Further considerations were at the basis of the preparation of Interreg Baltic Sea Region 2021-2027 performance methodology. In particular:

- to focus on the **core outcomes of transnational cooperation projects**;
- to introduce **simplification**, whenever possible and justified;
- to ensure a **proportionate and justified monitoring and reporting burden** for beneficiaries, as well as for the Programme;
- to prioritise, whenever possible, the **harmonisation** with other transnational programmes with overlapping areas;
- to consider and build upon **lessons learnt** from the period 2014-2020 with respect to measuring capacities.

### 2.1.2 Rationale on the selection of indicators

To summarise: based on the considerations listed above, the conclusion was to select a **limited set of indicators**, reflecting how the **Programme supports transnational cooperation** and allowing to measure the **progresses and achievements at project level**.

Therefore, **only Interreg Common Indicators** (as set in the European Commission Indicators fiche) were chosen for all thematic priorities. In addition, **one Programme Specific Result indicator**, agreed upon among different Interreg programmes, is used. The specific objective, under which the data on the indicator will be reported, gives the thematic context for the indicator.

---

<sup>2</sup> Regulation (EU) 2021/1059 of the European Parliament and of the Council of 24 June 2021 on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments, OJ L 231, 30.6.2021.

<sup>3</sup> Regulation (EU) 2021/1060 of the European Parliament and of the Council of June 2021 laying down common provisions on the European Regional Development Fund, the European Social Fund Plus, the Cohesion Fund, the Just Transition Fund and the European Maritime, Fisheries and Aquaculture Fund and financial rules for those and for the Asylum, Migration and Integration Fund, the Internal Security Fund and the Instrument for Financial Support for Border Management and Visa Policy, OJ L 231, 30.6.2021.



## 2.2 Selected output indicators and result indicators

The table below displays, per priority the selected output indicators and the corresponding selected result indicators. For priority 4, a distinction is made between Programme objectives 4.1 and 4.2.

Priority		Output indicators	Result indicators
1-3		RCO 84: Pilot actions developed jointly and implemented in projects	RCR 104: Solutions taken up or up-scaled by organisations
		RCO 116: Jointly developed solutions	
		RCO 87: Organisations cooperating across borders	PSR 1: Organisations with increased institutional capacity due to their participation in cooperation activities across borders
4	(Programme objective 4.1)	RCO 87: Organisations cooperating across borders	PSR 1: Organisations with increased institutional capacity due to their participation in cooperation activities across borders
	(Programme objective 4.2)	RCO 118: Organisations cooperating for the multilevel governance of macroregional strategies	RCR 84: Organisations cooperating across borders after project completion

Table 1: selected output and result indicators per priority

### 2.2.1 For Priority 1-3

The selected indicators (RCO 84, RCO 116, RCR 104; RCO 87, PSR 1):

- reflect the ambition of the Programme to deliver quality solutions to target groups, and by this to increase their ability to cope with identified challenges.  
Hence, the selected indicators capture the various steps of this process: from the development of solutions, to their testing via pilot activities, to their use by target groups.
- in parallel, reflect the fact that outputs and results will be produced through transnational cooperation, hence by organisations cooperating via the projects.
- enable the collection of information on these organisations, who are the target groups: they are the users of the solutions and will increase their capacities by the use of these solutions.
- Are paired between outputs and results in order to reflect the correlation and causal link between “pilot activities & solutions”, and between “cooperating organisations & increased capacities of these organisations”.

The figure below illustrates how the selected output and result indicators are interlinked, as well as reflect the transnational cooperation, the actions in the projects and the focus on target groups and their capacity building:



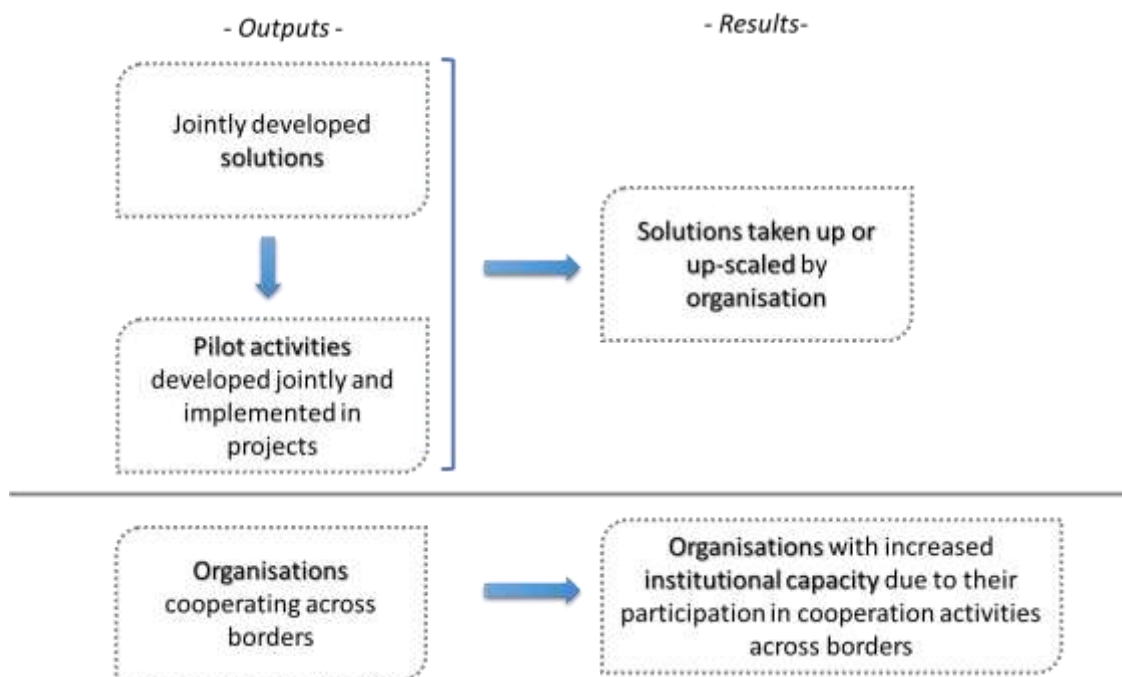


Figure 5: Priorities 1-3 selected indicators: visual display of applied performance framework

## Definitions

For the selected Interreg Common Indicators, Interreg Baltic Sea Region refers to the definitions provided by the European Commission in the indicators fiche further narrowing them, to be more precise and reflecting the Programme context and scope. For the Programme Specific indicator, the definition has been agreed upon between some Interreg programmes:

### - RCO 84: Pilot actions developed jointly and implemented in projects:

*“This indicator counts the pilots developed and implemented by the projects. A pilot validates the usefulness of a solution, i.e. verifies whether the solution meets the needs of the ones who should regularly use them (target groups). A pilot involves project partners from at least three different countries. If the three partners do not participate in the implementation phase of the pilot, their involvement should be ensured in both the preparation and evaluation phases of the pilot.”*

### - RCO 116: Jointly developed solutions:

*“This indicator counts the solutions contributing to solving the challenges identified by the project. Solutions are piloted during the project implementation. Projects may develop new solutions from scratch or modify/upscale existing solutions after the testing phase. (“Upscale” means e.g. to apply to a larger region, other cities or countries). Each solution is materialised by an output.”*

For both RCO 84 and RCO 116 the selected corresponding result indicator is RCR 104:

### - RCR 104: Solutions taken up or up-scaled by organisations:

*“This indicator counts solutions that are used by at least one organisation within or outside the project partnership. The solution should be used either by an organisation that was not using it before the project or by an organisation that was already using it before the project and will now extend the planned duration or increase the scale.”*

- **RCO 87: Organisations cooperating across borders:**

*“This indicator counts the project partners and associated organisations indicated in the project data. This includes any such organisations even if they drop out during the project. This excludes any such organisations that drop out before the project is contracted.”*

For RCO 87 the selected corresponding result indicator is the Programme specific result indicator, agreed upon among some Interreg Programmes, namely PSR1:

- **PSR 1: Organisations with increased institutional capacity due to their participation in cooperation activities across borders:**

*“This indicator counts the project partners, associated organisations, and any organisations outside the partnership that actively participated in cooperation activities of a project across borders and consequently increased their institutional capacity.”<sup>4</sup>*

## 2.2.2 For Priority 4

### For Programme objective 4.1

The selected indicators (RCO 87 and PSR1 as listed in Table 1):

- reflect the ambition that the Programme sets for platforms: to bring together solutions from different projects, synthesise them in a more structured way, then upscale their use by target groups. This is grasped by the indicators focus on cooperating organisation and on their increased capacities, due to the use of synthesised solutions.

The figure below illustrates how the selected output and result indicators are interlinked, as well as reflect the transnational cooperation and the focus on target groups and their capacity building:

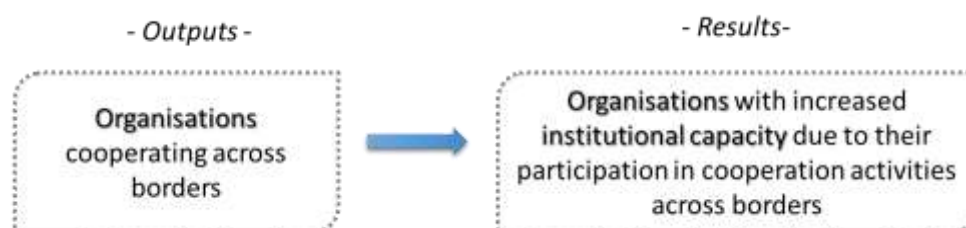


Figure 6: Programme objective 4.1 selected indicators: visual display of applied performance framework

### Definitions

For RCO 87 and PSR 1 the same definitions, already spelled out in the previous page (pag. 9) apply in the context of Programme objective 4.1: RCO 87, as based on the definition provided by the European Commission<sup>5</sup> but further narrowed to be more precise and reflect the Programme context and scope; for PSR 1, as agreed upon between some Interreg programmes.

### For Programme objective 4.2

The selected indicators (RCO118 and RCR 84 as listed in Table 1):

<sup>4</sup> See Annex 1: Indicator fiche PSR 1 - Organisations with increased institutional capacity due to their participation in cooperation activities across borders.

<sup>5</sup> See footnote 4.

- reflect the different intervention logic of Programme objective 4.2, which is specifically devoted to support actions that implement and strengthen EUSBSR governance and communication activities.
- reflect the cooperation dimension, as the key for supporting the multilevel governance of the EUSBSR.
- imply the cooperation across borders, lasting after the projects' completion, as the dimension of expected results.

The figure below illustrates how the selected output and result indicators are interlinked, as well as reflect the transnational cooperation and the focus on target groups:

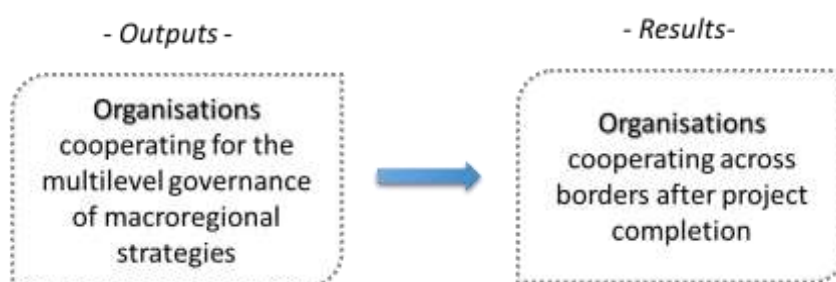


Figure 7: Programme objective 4.2 selected indicators: visual display of applied performance framework

### Definitions

For the selected Interreg Common Indicators, RCO 118 and RCR 84 Interreg Baltic Sea Region refers to the definitions provided by the European Commission in the indicators fiche further narrowing them, to be more precise and reflecting the Programme context and scope:

- **RCO 118: Organisations cooperating for the multilevel governance of macroregional strategies:**

*"This indicator counts the project partners and associated organisations strengthening the multi-level governance of the EUSBSR."*

With the corresponding selected result indicator RCR84:

- **RCR 84: Organisations cooperating across borders after project completion:**

*"This indicator counts the project partners and associated organisations that have an agreement to continue cooperation for strengthening the multilevel governance of the EUSBSR after the end of the project."*

## 2.3 Data for the calculation of targets and milestones

When drafting the methodological document, it was ensured that the data underpinning the indicator baselines, milestones, and targets were taken from a reliable source (e.g. the monitoring system or official statistics). Whenever this was not the case, the necessary steps were taken to ensure the quality of the data).

### 2.3.1 Assumptions and preliminary calculations

#### Targets

Targets for 2029 (i.e. N+2) are quantified taking into account:

- the financial allocation of the Programme for each Programme objective, hence priority;
- the expected size of projects, considering the different possible project types;
- the expected number of projects per Programme objective, considering the different possible project types.
- The expected number of solutions and pilots per project, considering the different possible project types.

The table below displays the **allocation of the Programme funds per programme objective**: each programme objective within priorities 1-3 is allocated the same amount; within priority 4, both programme objectives are allocated the same amount (different than that for the programme objectives under priorities 1-3):

<i>Priority</i>	<i>Programme objective</i>	<i>Programme budget amount for projects, hence excluding TA</i>
1. Innovative societies	1.1 Resilient economies and communities	EUR 29,599,051
	1.2 Responsive public services	EUR 29,599,050
2. Water-smart societies	2.1 Sustainable waters	EUR 29,599,051
	2.2 Blue economy	EUR 29,599,050
3. Climate-neutral societies	3.1 Circular economy	EUR 29,599,051.00
	3.2 Energy transition	EUR 29,599,051.00
	3.3 Smart mobility	EUR 29,599,051.00
4. Cooperation governance	4.1 Project platforms	EUR 12,600,000.00
	4.2 Macro-regional governance	EUR 12,600,000.00

*Table 2: allocation of the Programme funds per programme objectives*

For what concerns the **expected size of projects**, and the number of organisations involved, for priorities 1-3, historical data from the 2014-2020 Programme was used as reference for core projects (estimated average value of EUR 2.500.000 -ERDF- per core project) (estimated average of 28 organisations per core project).

For small projects EUR 500.000 has been set as the maximum budget, which means EUR 400.000 ERDF per project. An average of 5 organisations involved in each small project was estimated, taking into account the smaller scale and scope as compared to core projects.

For priority 4 and Programme objective 4.1, historical data was used for estimating the size of platforms, considering also some indexation needs (EUR 960.000 of ERDF). For Programme objective 4.2, the number of projects and partners per type of support was estimated based on the experience of the previous Programme, taking into account the number of Policy Areas in the Action Plan of the EU Strategy for the Baltic Sea Region and considering the new type of support agreed for the new Programme period.

For what concerns the **expected number of projects per Programme objective**, and in light of the equal split of funds allocation between them, the same number of projects per Programme objective was estimated. In addition, within programme objectives 1-3, the majority of funds are planned for core projects (around 90% of funds within each programme objective).

The table below displays the estimations on the number of projects per Programme objective and type:

<i>Programme objective</i>	<i># Projects</i>
1.1	Core 11
	Small 5
1.2	Core 11
	Small 5
2.1	Core 11
	Small 5
2.2	Core 11
	Small 5
3.1	Core 11
	Small 5
3.2	Core 11
	Small 5
3.3	Core 11
	Small 5
4.1	Platforms 13
4.2	Strategy governance: PACs 10
	Strategy governance: Strategy Forums 6
	Strategy governance: Strategy point 1

*Table 3: expected number of projects by type, per Programme objective*

For what concerns the **expected number of solutions and pilots** per project type, historical data from previous programming periods were taken into account for core projects. Based on these, it was assumed that on average each core project will produce 1,5 solution, which will be materialised by an output. The number of target pilots was set as different than the number of solutions. As a matter of fact, the testing of the same element of the solution in several locations will be counted as one pilot; while the testing of different elements of one solution will be counted as several pilots. Taking into account historical data on outputs, the average target of pilots for core project was therefore set at 3.

Similar considerations on historical data could not be made for small projects, which are a new project type in the framework of Interreg Baltic Sea Region 2021-27. Instead, assumptions were made. Assumptions were mainly based on the fact that solutions are not an obligatory for small projects, hence not all of them are expected to produce solutions. Moreover, the number of pilots was estimated as commensurate to the smaller size of these projects, as framed by the budget limit

## Milestones

The **milestones** for 2024, for output indicators, are quantified taking into account:

- the timeline for the calls, as currently planned;
- the expected timeline for output delivery and finalisation of the projects.

For what concerns the **calls planning**, the first calls for core projects and small project and the calls for Strategy governance projects on PAC and Strategy point assistance will be launched at the start of the Programme). A call for Strategy Forum will be run each year, starting as of 2023 (the Annual Forum 2022 will be funded by the Programme 2014-2020 budget). The first call for platforms is

planned only for the end of 2024, in order to enable the capitalisation on results from the first call projects once these are close to finalisation.

The calls launching plan, with related Programme funds allocation, can be summarised as follows:

Core projects:

- *Core projects call 1 (launch in February 2022)* – ca. 35 projects are expected to be funded; allocation of ca. 38% of Programme funds;
- *Core projects call 2 (launch in 2023)* – ca. 28 projects are expected to be funded; allocation of ca. 30% of Programme funds;
- *Core projects call 3 (launch in 2024)* – ca. 14 projects are expected to be funded; allocation of ca. 15% of Programme funds;
- *Small projects call 1 (launch in February 2022)* – ca. 21 projects expected to be funded; allocation of ca. 3.6% of Programme funds;
- *Small projects call 2 (launch in 2023)* – ca. 14 projects are expected to be funded; allocation of ca. 2.4% of Programme funds;

Platforms:

- *Platforms call (launch at the end of 2024)* – ca.13 projects expected to be funded; allocation of ca. 5.5% of Programme funds
- (differently, there could be a call 1 in 2024 and a call 2 in 2025; the number of estimated projects expected would not change, nor this would impacts on milestones estimated values)

Strategy governance:

- *Assistance to policy area coordinators call (launch in February 2022)* – ca. 7-10 projects expected to be funded; allocation of ca. 4% of Programme funds
- *Baltic Sea Strategy Point call (launch in February 2022)* – 1 project to be funded; allocation of ca. 0,5% of Programme funds
- *Strategy Forums (permanently open call, starting from June 2022)* – 6 Forums to be funded; allocation of ca. 1% of Programme funds

The figure below, illustrates the calls timeline for the first calls:

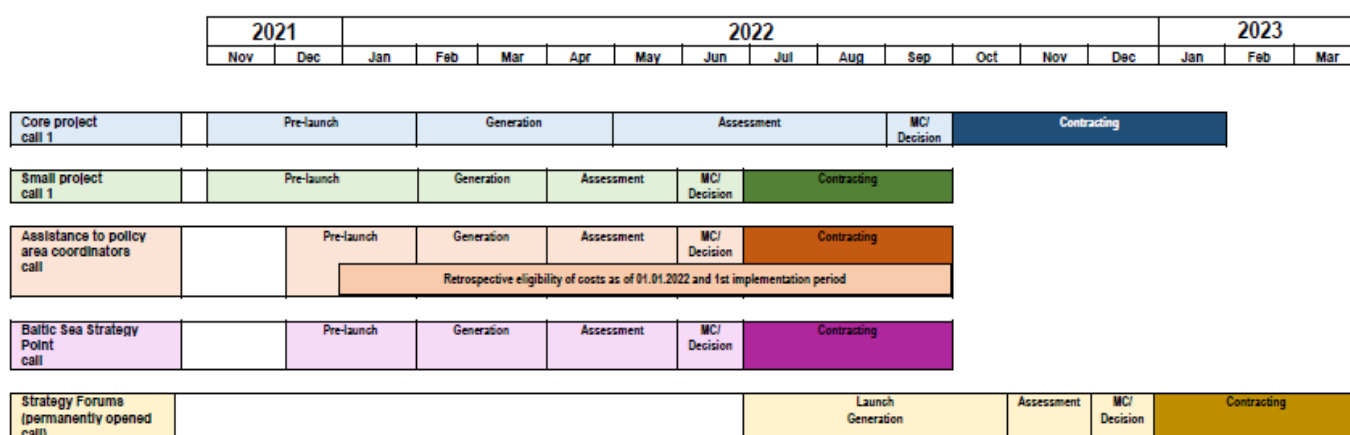


Figure 8: First calls timeline for core projects, small projects, assistance to policy area coordinators, Baltic Sea Strategy Point, Strategy Forums call

The end of the contracting period, as displayed in the figure, corresponds to the start of projects implementation. Therefore, the first small projects will be operational from the last months of 2022, the first core projects will be operational from the beginning of 2023. The project on Strategy

point assistance will be operational from the last months of 2022, similar to small projects. Exceptionally, the implementation of the PAC assistance projects starts retrospectively, on 1 January 2022. The first platforms will be implemented only starting from mid-2025. As for the annual Strategy Forums, the first one funded by the 2021-2027 Programme budget will be in 2023.

The maximum **duration of project implementation** is the following:

<b>Priority</b>	<b>Type of projects</b>	<b>Duration of implementation phase (up to)</b>
1-3	Core projects	36 months
	Small projects	24 months
4	Platforms	36 months
	Strategy governance: PACs	36 months
	Strategy governance: Strategy Forums	12 months
	Strategy governance: Strategy Point	36 months

Table 4: Duration of implementation per project type within Programme priority

Furthermore, it was considered that pilots and solutions could be expected for core projects and small projects (with the premise that neither pilots nor solutions are a mandatory requirement for small projects) rather towards the later/last period of implementation.

Moreover, the implementation phase of projects on assistance to PACs and the Strategy point may further be extended.

Therefore, it is expected that by 2024:

- none of the core projects will be finalised;
- only about 21 small projects (i.e. 3 small projects per Programme objective within Priorities 1-3) will be finalised;
- none of the platforms will be finalised;
- none of the projects on PAC assistance will be finalised;
- one project on organisations of Strategy Forum will be finalised;
- the project on the Strategy point assistance will not be finalised.

### 2.3.2 Performance framework quantifications for Specific Objectives

The following sections illustrate the performance framework of indicators, on which Interreg Baltic Sea Region will report for each selected Specific Objective.

As framework, the table below indicates the correlation between priorities, Programme objectives and Specific Objectives:

<b>Interreg Baltic Sea Region</b>		<b>Regulations<sup>6</sup></b>
<b>Priority</b>	<b>Programme objective</b>	Policy objective and specific objective
1. Innovative societies	1.1 Resilient economies and communities	1. Smarter Europe RSO1.4 Skills for smart specialisation
	1.2 Responsive public services	1. Smarter Europe RSO1.1 Enhancing research and innovation
2. Water-smart societies	2.1 Sustainable waters	2. Greener Europe RSO2.5 Sustainable water
	2.2 Blue economy	

<sup>6</sup> Shortened names and codes as used in SFC2021.



3. Climate-neutral societies	3.1 Circular economy	2. Greener Europe RSO2.6 Circular economy
	3.2 Energy transition	2. Greener Europe RSO2.1 Energy efficiency
	3.3 Smart mobility	2. Greener Europe RSO2.8 Sustainable urban mobility
4. Cooperation governance	4.1 Project platforms	Interreg: Cooperation Governance ISO6.6 Other actions to support better cooperation governance
	4.2 Macro-regional governance	Interreg: Cooperation Governance ISO6.1 Institutional capacity of public authorities

Table 5: correlation between priorities, Programme objectives and Specific Objectives

The assumptions and preliminary calculations for priorities and Programme objectives explained in the previous sections are the basis of the additional assumptions and quantifications illustrated below for the Specific Objectives.

### Within Priority 1-3

Considering:

- RSO1.4 Skills for smart specialisation
- RSO1.1 Enhancing research and innovation
- RSO2.6 Circular economy
- RSO2.1 Energy efficiency
- RSO2.8 Sustainable urban mobility

For each of these Specific Objectives, the following same assumptions and preliminary calculations were applied (as per Table 5, each of these SO corresponds to only one Programme objective):

- ERDF allocation: EUR 29,599,051.00 and 29,599,050.00 (for RSO1.4, RSO1.1)  
EUR 29,599,051.00 (for RSO2.6, RSO2.1, RSO2.8)
- Average ERDF funding for core project: EUR 2.500.000
- Average ERDF funding for small project: EUR 400.000
- Number of #projects: 11 core projects and 5 small projects.

Based on this data, additional calculations on indicators quantifications (milestones and target) were made as displayed in the table below:

	<i>Indicator</i>	<i>Milestone 2024</i>	<i>Target 2029</i>	<i>Details on the quantification</i>
<b>Output indicators</b>	RCO 84: Pilot actions developed jointly and implemented in projects	1	34	<p>- Target for core project: 3 pilots/each [so: 3 pilots x 11 core projects]</p> <p>-Target for small project: 20% of the small projects will have 1 pilot [so: 0,2 pilots x 5 small projects]</p> <p>-----</p> <p><i>Pilots are not mandatory for small projects.</i></p> <p><i>None of core projects will be finalised by 2024; 3 small projects will be finalised by</i></p>

				<p>2024 [so: 0,2 pilots x 3 small projects=0,6 pilots]. <i>The value of milestone is rounded up to 1.</i></p> <p><i>The values for core and small projects are together cumulated.</i></p>
	RCO 116: Jointly developed solutions	1	18	<p>- Target for core project: 1,5 solutions/each [so: 1,5 solutions x 11 core projects] [the total value is rounded up]</p> <p>- Target for small project: 20% of the small projects will develop 1 solution [so: 0,2 solution x 5 small projects]</p> <p>-----</p> <p><i>For core projects, there is not a 1:1 correspondence between #pilots and #solutions (since the testing of the same element of the solution in several locations is counted as one pilot; the testing of different elements of one solution is counted as several pilots).</i></p> <p><i>For small project, each pilot will correspond to a tested solution.</i></p> <p><i>None of core projects will be finalised by 2024; 3 small projects will be finalised by 2024 [so: 0,2 solutions x 3 small projects = 0,6 solutions]. The value of milestone is rounded up to 1.</i></p> <p><i>The values for core and small projects are together cumulated</i></p>
	RCO 87: Organisations cooperating across borders	21	343	<p>- Target for core project: 28 org./each [so: 28 organisations x 11 core projects]</p> <p>-Target for small project: 7 org./each [so: 7 organisations x 5 small projects]</p> <p>-----</p> <p><i>Both Project Partners and Associated Organisations are considered.</i></p> <p><i>Historical data were considered for core projects.</i></p> <p><u><i>All organisations involved in finalised projects are counted for the milestone:</i></u></p> <p><i>By 2024: no core project will be finalised; 3 small projects will be finalised.</i></p>

<b>Result indicators</b>	RCR 104: Solutions taken up or up-scaled by organisations	-	12	<p>- Target for core project: 1 taken-up solution/each [so: 1 solutions x 11 core projects]</p> <p>- Target for small project: 20% of the small projects will develop 1 solution, which will be taken up [so: 0,2 solution x 5 small projects]</p> <p>-----</p> <p><i>For core projects, not necessarily all jointly developed solutions will be taken-up or upscaled.</i></p> <p><i>For small projects, each solution developed, and tested via the pilot, will be taken-up.</i></p> <p><i>The values for core and small projects are together cumulated</i></p>
	PSR 1: Organisations with increased institutional capacity due to their participation in cooperation activities across borders	-	468	<p>- Target for core project: 38 org./each [so: 38 organisations x 11 core projects]</p> <p>- Target for small project: 10 org./each [so: 10 organisations x 5 small projects]</p> <p>-----</p> <p><i>Not only Project Partners and Associated Organisations, but also organisations outside the partnership, which will benefit in terms of increased capacities, are considered. Hence the higher values, compared to RCO 87.</i></p> <p><i>The values for core and small projects are together cumulated</i></p>

Table 6: indicators quantification for RSO1.4, RSO1.1, RSO2.6, RSO2.1 RSO2.8 - details

Considering:

- RSO2.5 Sustainable water

For this Specific Objective, the following assumptions and preliminary calculations were applied (as per Table 5, this SO corresponds to two Programme objectives):

- ERDF allocation: EUR 59,198,101
- Average ERDF funding for core project: EUR 2.500.000
- Average ERDF funding for small project: EUR 400.000
- Number of #projects: 22 core projects and 10 small projects.

Based on this data, additional calculations on indicators quantifications (milestones and target) were made as displayed in the table below:

	<i>Indicator</i>	<i>Milestone 2024</i>	<i>Target 2029</i>	<i>Explanation</i>
<b>Output indicators</b>	RCO 84: Pilot actions developed jointly and implemented in projects	1	68	<p>- Target for core project: 3 pilots/each [so: 3 pilots x 22 core projects]</p> <p>-Target for small project: 20% of the small projects will have 1 pilot [so: 0,2 pilots x 10 small projects]</p> <p>-----</p> <p><i>Pilots are not mandatory for small projects.</i></p> <p><i>None of core projects will be finalised by 2024; 6 small projects will be finalised by 2024 [so: 0,2 pilots x 6 small projects=1,2 pilots]. The value of milestone is rounded down to 1.</i></p> <p><i>The values for core and small projects are together cumulated.</i></p>
	RCO 116: Jointly developed solutions	1	35	<p>- Target for core project: 1,5 solutions/each [so: 1,5 solutions x 22 core projects] [the total value is rounded up]</p> <p>- Target for small project: 20% of the small projects will develop 1 solution [so: 0,2 solution x 10 small projects]</p> <p>-----</p> <p><i>For core projects, there is not a 1:1 correspondence between #pilots and #solutions (since the testing of the same element of the solution in several locations is counted as one pilot; the testing of different elements of one solution is counted as several pilots).</i></p> <p><i>For small project, each pilot will correspond to a tested solution.</i></p>

				<p><i>None of core projects will be finalised by 2024; 6 small projects will be finalised by 2024 [so: 0,2 solutions x 6 small projects = 1,2 solutions]. The value of milestone is rounded down to 1.</i></p> <p><i>The values for core and small projects are together cumulated</i></p>
	RCO 87: Organisations cooperating across borders	42	686	<p>- Target for core project: 28 org./each [so: 28 organisations x 22 core projects]</p> <p>-Target for small project: 7 org./each [so: 7 organisations x 10 small projects]</p> <p>-----</p> <p><i>Both Project Partners and Associated Organisations are considered.</i></p> <p><i>Historical data were considered for core projects.</i></p> <p><u><i>All organisations involved in finalised projects are counted for the milestone:</i></u>  <i>By 2024: no core project will be finalised; 6 small projects will be finalised.</i></p>
<b>Result indicators</b>	RCR 104: Solutions taken up or up-scaled by organisations	-	24	<p>- Target for core project: 1 taken-up solution/each [so: 1 solutions x 22 core projects]</p> <p>- Target for small project: 20% of the small projects will develop 1 solution, which will be taken up [so: 0,2 solution x 10 small projects]</p> <p>-----</p> <p><i>For core projects, not necessarily all jointly developed solutions will be taken-up or upscaled.</i></p> <p><i>For small projects, each solution developed, and tested via the pilot, will be taken-up.</i></p> <p><i>The values for core and small projects are together cumulated</i></p>

	PSR 1: Organisations with increased institutional capacity due to their participation in cooperation activities across borders	-	936	<p>- Target for core project: 38 org./each [so: 38 organisations x 22 core projects]</p> <p>- Target for small project: 10 org./each [so: 10 organisations x 10 small projects]</p> <p>-----</p> <p><i>Not only Project Partners and Associated Organisations, but also organisations outside the partnership, which will benefit in terms of increased capacities, are considered. Hence the higher values, compared to RCO 87.</i></p> <p><i>The values for core and small projects are together cumulated</i></p>
--	---	---	-----	--

Table 7: indicators quantification for RSO2.5

#### Within Priority 4

Considering:

- ISO6.6 Other actions to support better cooperation governance

For this Specific Objective, the following assumptions and preliminary calculations were applied:

- ERDF allocation: EUR 12,600,000
- Number of #projects: 13 platforms.

Based on this data, additional calculations on indicators quantifications (milestones and target) were made as displayed in the table below:

	<b>Indicator</b>	<b>Milestone 2024</b>	<b>Target 2029</b>	<b>Explanation</b>
<b>Output indicator</b>	RCO 87: Organisations cooperating across borders	0	260	<p>- Target for platforms: 20 org./each [so: 20 organisations x 13 project platforms]</p> <p>-----</p> <p><i>For platforms, both project partners and associated organisations are considered.</i></p> <p><i>No platform will be running by 2024 (core projects would need to be finalised in order to have platforms running and by 2024 no core project will be finalised)(the call for platform will be launched in 2024 and platforms will start implementation in 2025 only, therefore no platform can be counted for the milestone).</i></p> <p><i>13 platforms will be implemented by 2029.</i></p>

<b>Result indicator</b>	PSR 1: Organisations with increased institutional capacity due to their participation in cooperation activities across borders	-	520	<p>- Target for platforms: 40 org./each [so: 40 organisations x 13 project platforms]</p> <p>-----</p> <p><i>For platforms, not only project partners and associated organisations, but also organisations outside the partnership, which will benefit in terms of increased capacities, are considered. Furthering the use of projects' results/solutions by their target groups beyond the partnership is the aim of project platforms. Hence the double value, compared to RCO 87, which is the corresponding output indicator.</i></p>
-------------------------	---	---	-----	--

Table 8: indicators quantification for ISO6.6

Considering:

- ISO6.1 Institutional capacity of public authorities

For this Specific Objective, the following assumptions and preliminary calculations were applied:

- ERDF allocation: EUR 12,600,000
- Number of #projects: to support the EUSBSR 14 PACs and the BSP; 6 Annual Forums.

Based on this data, additional calculations on indicators quantifications (milestones and target) were made as displayed in the table below:

	<b>Indicator</b>	<b>Milestone 2024</b>	<b>Target 2029</b>	<b>Explanation</b>
<b>Output indicators</b>	RCO 118: Organisations cooperating for the multilevel governance of macroregional strategies	4	42	<p>- Target for PACs support: 28 org.</p> <p>- Target for BSP support: 2 org.</p> <p>- Target for Annual Forum: 2 org./each [so: 2 organisations x 6 Forums]</p> <p>-----</p> <p><i>PACs and BSP support will not have a milestone for 2024. One Annual Forum will be finalised by 2024 (Annual Forum 2022 will be funded by the 2014-2020 Programme budget); 6 Annual Forum in total will be organised and funded with 2021-2027 Programme in the years 2023-2028.</i></p> <p><i>The values for PACs and BSP support, and Annual Forums are cumulated.</i></p>



<b>Result indicators</b>	RCR 84: Organisations cooperating across borders after project completion	-	42	<p>- Target for PACs support: 28 org.</p> <p>- Target for BSP support: 2 org.</p> <p>- Target for Annual Forum: 2 org./each [so: 2 organisations x 6 Forums]</p> <p>-----</p> <p><i>The same values as for RCO118 are considered, as that output indicator and this result indicator are correlated. The focus of this intervention is directly on the capacity of the organisations cooperating for the multilevel governance of macroregional strategies during and after the end of the projects.</i></p> <p><i>PACs and BSP support will not have a milestone for 2024. One Annual Forum will be finalised by 2024.</i></p> <p><i>The values for PACs and BSP support, and Annual Fora are cumulated.</i></p>
--------------------------	---	---	----	---

Table 9: indicators quantification for ISO6.1

The data reported in tables 6, 7, 8, 9 namely the indicators measure for each selected Specific Objective (w.r.t Priority 3 and Priority 4), can be summarised as follows:

<i>Specific objective</i>	<i>Indicator</i>		<i>Measurement unit</i>	<i>Milestone 2024</i>	<i>Target 2029</i>
RSO1.4	Output	RCO 84	Pilot	1	34
		RCO 116	Solution	1	18
		RCO 87	Organisation	21	343
	Result	RCR 104	Solution	-	12
		PSR 1	Organisation	-	468
RSO1.1	Output	RCO 84	Pilot	1	34
		RCO 116	Solution	1	18
		RCO 87	Organisation	21	343
	Result	RCR 104	Solution	-	12
		PSR 1	Organisation	-	468
RSO2.5	Output	RCO 84	Pilot	1	68
		RCO 116	Solution	1	35
		RCO 87	Organisation	42	686
	Result	RCR 104	Solution	-	24
		PSR 1	Organisation	-	936
RSO2.6	Output	RCO 84	Pilot	1	34
		RCO 116	Solution	1	18
		RCO 87	Organisation	21	343
	Result	RCR 104	Solution	-	12
		PSR 1	Organisation	-	468
RSO2.1	Output	RCO 84	Pilot	1	34
		RCO 116	Solution	1	18

	Result	RCO 87	Organisation	21	343
		RCR 104	Solution	-	12
		PSR 1	Organisation	-	468
RSO2.8	Output	RCO 84	Pilot	1	34
		RCO 116	Solution	1	18
		RCO 87	Organisation	21	343
	Result	RCR 104	Solution	-	12
		PSR 1	Organisation	-	468
ISO6.6	Output	RCO 87	Organisations	0	260
	Result	PSR 1	Organisations	-	520
ISO6.1	Output	RCO 118	Organisations	4	42
	Result	RCR 84	Organisations	-	42

Table 10: indicators quantification for Specific Objectives - summary

### 3. INTERVENTION FIELD

One intervention field was selected and applied for all Programme priorities: Intervention field **173 “Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context”**.

The intervention field was selected because it:

- concerns capacity-building, which is the core dimension of Interreg Baltic Sea Region expected results;
- refers to public authorities and stakeholders, which are the main target groups of the Programme;

captures transnational cooperation, which is the operation mode of the Programme.

Therefore, the selected intervention field is considered to cover all expected actions, which the Programme supports.

In addition, in order to show the Programme’s contribution to the EU objectives related to climate change and biodiversity the following intervention fields were selected for the priorities 2 and 3:

#### **RSO2.5. Promoting access to water and sustainable water management**

- IF 058 - Adaptation to climate change measures and prevention and management of climate related risks: floods and landslides (including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches)
- IF 060 - Adaptation to climate change measures and prevention and management of climate related risks: others, e.g. storms and drought (including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches)
- IF 064 - Water management and water resource conservation (including river basin management, specific climate change adaptation measures, reuse, leakage reduction),
- IF 65 - Waste water collection and treatment
- IF 075 - Support to environmentally-friendly production processes and resource efficiency in SMEs

- IF 79 - Nature and biodiversity protection, natural heritage and resources, green and blue infrastructure

#### **RSO2.6. Promoting the transition to a circular**

- IF 046 - Support to entities that provide services contributing to the low carbon economy and to resilience to climate change, including awareness-raising measures
- IF 075 - Support to environmentally-friendly production processes and resource efficiency in SMEs

#### **RSO2.1. Promoting energy efficiency and reducing greenhouse gas emissions**

- IF 044 - Energy efficiency renovation or energy efficiency measures regarding public infrastructure, demonstration projects and supporting measures
- IF 046 - Support to entities that provide services contributing to the low carbon economy and to resilience to climate change, including awareness-raising measures
- IF 053 - Smart Energy Systems (including smart grids and ICT systems) and related storage

#### **RSO2.8. Promoting sustainable multimodal urban mobility**

- IF 081 - Clean urban transport infrastructure
- IF 085 - Digitalisation of transport when dedicated in part to greenhouse gas emissions reduction: urban transport
- IF 086 - Alternative fuels infrastructure

The tables below displays the number of projects and budget allocation per intervention field within the respective Specific objectives. The first table is for the specific objectives corresponding to the Programme Priorities 1-3, while the second table is for the specific objectives corresponding to the Programme Priority 4:

<b>Specific objective</b>	<b>Intervention Field</b>	<b># projects</b>		<b>Budget (EU amount)</b>
		<b>Core</b>	<b>Small</b>	
RSO1.4	173 - Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context	11	5	EUR 29,599,051.00
RSO1.1	173 - Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context	11	5	EUR 29,599,050.00
RSO2.5	IF 058 - Adaptation to climate change measures and prevention and management of climate related risks: floods and landslides (including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches)	2		EUR 5,000,000.00
	IF 060 - Adaptation to climate change measures and prevention and management of climate related risks:	1		EUR 2,500,000.00

	others, e.g. storms and drought (including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches)			
	IF 064 - Water management and water resource conservation (including river basin management, specific climate change adaptation measures, reuse, leakage reduction)	3		EUR 7,500,000.00
	IF 65 - Waste water collection and treatment	4		EUR 10,000,000.00
	IF 075 - Support to environmentally-friendly production processes and resource efficiency in SMEs	5		EUR 12,500,000.00
	IF 79 - Nature and biodiversity protection, natural heritage and resources, green and blue infrastructure	4		EUR 10,000,000.00
	173 - Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context	3	10	EUR 11,698,101.00
RSO 2.6	IF 046 - Support to entities that provide services contributing to the low carbon economy and to resilience to climate change, including awareness-raising measures	5		EUR 12,500,000.00
	IF 075 - Support to environmentally-friendly production processes and resource efficiency in SMEs	5		EUR 12,500,000.00
	173 - Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context	1	5	EUR 4,599,051.00
RSO2.1	IF 044 - Energy efficiency renovation or energy efficiency measures regarding public infrastructure, demonstration projects and supporting measures	3		EUR 7,500,000.00
	IF 046 - Support to entities that provide services contributing to the low carbon economy and to resilience to climate change, including awareness-raising measures	5		EUR 12,500,000.00
	IF 053 - Smart Energy Systems (including smart grids and ICT systems) and related storage	3		EUR 7,500,000.00

	173 - Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context		5	EUR 2,099,051.00
RSO2.8	IF 081 - Clean urban transport infrastructure	2		EUR 5,000,000.00
	IF 085 - Digitalisation of transport when dedicated in part to greenhouse gas emissions reduction: urban transport	4		EUR 10,000,000.00
	IF 086 - Alternative fuels infrastructure	1		EUR 2,500,000.00
	173 - Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context	4	5	EUR 12,099,051.00

Table 11a: Number of projects and budget per intervention field for respective Specific Objective, for Priorities 1-3

Specific objective	Intervention Field	# projects				Budget (EU amount)
		Platforms	PACs	Strategy Forums	Strategy Point	
ISO6.6	173 - Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context	13				EUR 12,600,000.00
ISO6.1	173 - Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context		10	6	1	EUR 12,600,000.00

Table 11b: Number of projects and budget per intervention field for respective Specific Objective, for Priority 4

The table below provides a summary of the whole methodology for the establishment of the performance framework. Adding upon the data and information on the indicators already explained in chapters above, data and information on actions, intervention fields and amounts are indicated. Actions are clustered per Specific Objective.

Specific objective	Action	Total allocation at specific objective level (indicative)	Indicator			Baseline		Milestone 2024	Target 2029	Intervention field		
			C o d e	Name	M.U.	value	year			Code and name	EU Amount	Amount (EU+National) (euro)
RSO1.4 Skills for smart specialisation	Actions to strengthen the resilience of the Baltic Sea Region (economies and communities): <ul style="list-style-type: none"> <li>• <u>Developing models that reduce the dependency of the BSR on global supply chains;</u></li> <li>• <u>Redesigning smart specialisation approaches and redefining connections to global value chains;</u></li> <li>• <u>Developing and testing mechanisms that manage economic and societal challenges in a coordinated fashion (e.g. piloting smart health solutions);</u></li> <li>• <u>Exploring the potential of sustainable consumption patterns based on local services and goods;</u></li> <li>• <u>Exploring solutions (e.g. digital) that enable services and production to scale and shrink in response to sudden demand fluctuations;</u></li> <li>• <u>Exploring solutions to assist business with recovery (e.g. developing new or adapting existing business support programmes,</u></li> </ul>	EUR 36,998,814.00	R C O 8 4	Pilot actions developed jointly and implemented in projects	Pilot action	-		1	34	173: "Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context".	EUR 29,599,051.00	EUR 36,998,814.00
			R C O 1 1 6	Jointly developed solutions	Solutions	-	-	1	18			
			R C O 8 7	Organisations cooperating across borders	Organisations	-	-	21	343			

	<p><u>implementing efforts to diversify the industrial base, developing risk management tools for whole sectors (e.g. creative industries) and risk response strategies;</u></p> <ul style="list-style-type: none"> <li>• Piloting actions that strengthen societal resilience through cultural change, behavioural shifts and mobilising creativity</li> <li>• Piloting actions that strengthen cohesion and regional identity by using culture;</li> <li>• Piloting actions building resilience through <u>better connections between research and regional innovation systems.</u></li> </ul>		R C R 1 0 4	Solutio ns taken up or up- scaled by organis ations	Soluti ons	0	202 1	-	12			
			P S R 1	Organis ations with increas ed institut ional capacit y due to their particip ation in cooper ation activiti es across border s	Organi sation s	0	202 1	-	468			
RSO1.1 Enhanci ng researc	Actions to improve organisational set-ups and processes, to deliver less bureaucratic, more cost-	EUR 36,998,813.00	R C O	Pilot actions develo ped	Pilot action	-	-	1	34	173: "Enhancing institutional capacity of public authorities and stakeholders to implement territorial	EUR 29,599,050.00	EUR 36,998,813.00



h and innovati on	efficient and responsive (w.r.t. public needs) public services: <ul style="list-style-type: none"> <li>• <u>Testing unconventional approaches that reduce costs and bureaucracy as well as make public services more effective (e.g. piloting needs-based, data-driven, cross-sectoral approaches to planning).</u></li> <li>• <u>Developing integrated public services tailored to the needs of functional regions and specific territories to ensure remote access to services;</u></li> <li>• <u>Developing common standards and establishing sustainable, inclusive and trustworthy digital public services (e.g. piloting interoperable public services, new data-based health models enabling more participatory and personalised approaches);</u></li> <li>• <u>Testing approaches that establish “innovation partnerships” by combining research and public procurement to create new business opportunities;</u></li> <li>• <u>Piloting solutions that increase citizen engagement in transforming public services.</u></li> </ul>		8 4	jointly and implem ented in project s						cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context".		
			R C O 1 1 6	Jointly develo ped solutio ns	Soluti ons	-	-	1	18			
			R C O 8 7	Organis ations cooper ating across border s	Organi sation s	-	-	21	343			
			R C R 1 0 4	Solutio ns taken up or up- scaled by organis ations	Soluti ons	0	202 1	-	12			

			P S R 1	Organisations with increased institutional capacity due to their participation in cooperation activities across borders	Organisations	0	2021	-	468			
RSO2.5 Sustainable water	<p>Actions to improve the state of water in the region and make its management more sustainable:</p> <ul style="list-style-type: none"> <li>• <u>Engaging authorities, companies, and advisories and local communities to introduce good practices and test solutions to recycle nutrients or payment business models for ecosystem services;</u></li> <li>• <u>Introducing procedures to remove nutrients and hazardous substances</u></li> </ul>	EUR 73,997,627.00	R C O 8 4	Pilot actions developed jointly and implemented in projects	Pilot action	-	-	1	68	058: "Adaptation to climate change measures and prevention and management of climate related risks: floods and landslides (including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches)"	EUR 5,000,000.00	EUR 6,250,000.00

	from waterways and wastewater treatment; • <u>Adapting water management and other strategies to emerging pollutants or new solutions to eutrophication</u> ; • <u>Adapting water management practices across sectors to use water reasonably</u> (e.g. reuse, retain, recirculate); • <u>Rethinking planning processes for more effective water management</u> ; • <u>Improving water management practices across sectors</u> ; • <u>Piloting actions to shift consumer and production patterns.</u>	R C O 1 1 6	Jointly developed solutions	Solutions	-	-	1	35	060: “Adaptation to climate change measures and prevention and management of climate related risks: others, e.g. storms and drought (including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches)”	EUR 2,500,000.00	EUR 3,125,000.00
		R C O 8 7	Organisations cooperating across borders	Organisations	-	-	42	686	064: “Water management and water resource conservation (including river basin management, specific climate change adaptation measures, reuse, leakage reduction)	EUR 7,500,000.00	EUR 9,375,000.00
		R C R 1 0 4	Solutions taken up or up-scaled by organisations	Solutions	0	2021	-	24	065: “Waste water collection and treatment”	EUR 10,000,000.00	EUR 12,500,000.00
		P S R 1	Organisations with increased institutional capacity due	Organisations	0	2021	-	936	075: “Support to environmentally-friendly production processes and resource efficiency in SMEs”	EUR 12,500,000.00	EUR 15,625,000.00
	Actions to advance a blue economy with environmental concerns for healthy ecosystems in the region: • <u>Creating new business opportunities by developing value chains in line with smart specialisation strategies and marketing them</u> ;								079: “Nature and biodiversity protection, natural	EUR 10,000,000.00	EUR 12,500,000.00

	<ul style="list-style-type: none"> <li>• <u>Developing governance, communication and cooperation models among public authorities and industry to mitigate conflicts and promote the joint use of the sea and land space;</u></li> <li>• <u>Integrating climate change mitigation measures in blue economy development plans;</u></li> <li>• <u>Introducing common environmental standards and clean, digital, eco-efficient solutions on ships and in ports to reduce emissions, process and recycle waste and wastewater, prevent species from entering water bodies;</u></li> <li>• <u>Improving management of sea and inland water traffic, including digital solutions;</u></li> <li>• <u>Diversifying coastal, maritime and inland water tourism products and connecting them to other sectors (e.g. food, health, to combat seasonality);</u></li> <li>• <u>Testing payment business models for ecosystem services.</u></li> </ul>			to their participation in cooperation activities across borders						heritage and resources, green and blue infrastructure”  173: “Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context”	EUR 11,698,101.00	EUR 14,622,627.00
RSO2.6 Circular economy	Actions to facilitate the shift from linear to circular resource use: <ul style="list-style-type: none"> <li>• <u>Integrating circular economy policies into territorial strategies and testing their implementation, linking to other relevant strategies (e.g. for smart specialisation);</u></li> <li>• <u>Mapping administrative or legal barriers and defining solutions in line with competences of cities and regions;</u></li> <li>• <u>Testing public procurement models that strengthen the role of</u></li> </ul>	EUR 36,998,814.00	R C O 8 4	Pilot actions developed jointly and implemented in projects	Pilot action	-	-	1	34	046: “Support to entities that provide services contributing to the low carbon economy and to resilience to climate change, including awareness-raising measures”  075: “Support to environmentally-friendly production processes and resource efficiency in SMEs”	EUR 12,500,000.00  EUR 12,500,000.00	EUR 15,625,000.00  EUR 15,625,000.00

<p>public authorities in supporting a transition towards circularity;</p> <ul style="list-style-type: none"> <li>• <u>Rethinking urban and regional planning processes in key sectors (e.g. transport, water, energy, and waste management) in order to adopt better integrated and systemic approaches;</u></li> <li>• <u>Coordinating and supporting entrepreneurial and civil society initiatives and supporting change in attitudes;</u></li> <li>• <u>Providing support services that help businesses adopt more circular approaches;</u></li> <li>• <u>Applying digital technologies to transform business models from linear to circular;</u></li> <li>• <u>Testing solutions that create synergies between bio and circular economy (e.g. supporting industrial symbiosis using waste material from forest industry by companies in different sectors).</u></li> </ul>		R C O 1 1 6	Jointly develo ped solutio ns	Soluti ons	-	-	1	18	173: "Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context".	EUR 4,599,051.00	EUR 5,748,814.00
		R C O 8 7	Organis ations cooper ating across border s	Organi sation s	-	-	21	343			
		R C R 1 0 4	Solutio ns taken up or up- scaled by organis ations	Soluti ons	0	202 1	-	468			
		P S R 1	Organis ations with increas ed institut ional capacit	Organi sation s	0	202 1	-				

				y due to their participation in cooperation activities across borders								
RSO2.1 Energy efficiency	Actions to target the decarbonisation of energy systems in the region to reduce greenhouse gas emissions: <ul style="list-style-type: none"> <li>• <u>Rethinking policies and regulations and updating national, regional or local energy plans;</u></li> <li>• <u>Addressing administrative, legal and financial barriers to speed up a 'renovation wave' of public and private buildings;</u></li> <li>• <u>Testing coordination mechanisms across borders, considering spatial planning needs;</u></li> <li>• <u>Strengthening integration of different energy systems and interconnecting energy consumers from different sectors;</u></li> <li>• <u>Introducing solutions for localised energy grids, combining multiple power sources;</u></li> <li>• <u>Designing public support policies;</u></li> <li>• <u>Accelerating the establishment of systems for producing, storing and using renewable energy;</u></li> </ul>	EUR 36,998,814.00	R C O 8 4	Pilot actions developed jointly and implemented in projects	Pilot action	-	-	1	34	044: "Energy efficiency renovation or energy efficiency measures regarding public infrastructure, demonstration projects and supporting measures"	EUR 7,500,000.00	EUR 9,375,000.00
			R C O 1 1 6	Jointly developed solutions	Solutions	-	-	1	18	046: "Support to entities that provide services contributing to the low carbon economy and to resilience to climate change, including awareness-raising measures"	EUR 12,500,000.00	EUR 15,625,000.00
			R C O	Organisations cooperating	Organisations	-	-	21	343	053: "Smart Energy Systems (including smart grids and ICT systems) and related storage"	EUR 7,500,000.00	EUR 9,375,000.00
										173: "Enhancing institutional capacity of public authorities and stakeholders to	EUR 2,099,051.00	EUR 2,623,814.00

<ul style="list-style-type: none"> <li><i>Piloting actions that strengthen the involvement of citizens and industry in decarbonising energy systems (e.g. guidelines for energy districts and standards for green industry certification as well as assessment of green-house gas emissions and their decrease in a coherent way across borders).</i></li> </ul>			8	ating						implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context".		
			7	across								
				border								
			R	Solutio	Soluti	0	202	-	12			
			C	ns	ons		1					
				taken								
			1	up or								
			0	up-								
			4	scaled								
				by								
				organis								
				ations								
			P	Organis	Organi	0	202	-	468			
			S	ations	sation		1					
				with	s							
			1	increas								
				ed								
				institut								
				ional								
				capacit								
				y due								
				to their								
				particip								
				ation in								
				cooper								
				ation								
				activiti								
				es								
				across								



				border s								
RSO2.8 Sustainable urban mobility	Actions to ensure the movement of people and goods while increasing efficiency, digitalization, transport modes (green and smart) integration: <ul style="list-style-type: none"> <li>• <u>Developing common standards to ensure the compatibility of green solutions along transport corridors and across borders;</u></li> <li>• <u>Using innovative technologies and planning infrastructure;</u></li> <li>• <u>Supporting public authorities in developing, testing, procuring and deploying green and multimodal transport;</u></li> <li>• <u>Rethinking urban, rural and regional spatial and transport planning;</u></li> <li>• <u>Testing and applying digital solutions that regulate traffic flow, optimise public transport lines, and predict changing mobility patterns.</u></li> <li>• <u>Testing and deploying innovative technologies and planning infrastructure to produce, store and distribute renewable fuels;</u></li> <li>• <u>Planning and piloting e-mobility, smart grid services and charging stations and connecting green energy to existing energy grids;</u></li> <li>• <u>Planning renewable fuels charging infrastructure at public transport stations and freight hubs, repurposing gas or petrol stations;</u></li> </ul>	EUR 36,998,814.00	R C O 8 4	Pilot actions develo ped jointly and implem ented in project s	Pilot action	-	-	1	34	IF 081 - Clean urban transport infrastructure  IF 085 - Digitalisation of transport when dedicated in part to greenhouse gas emissions reduction: urban transport  IF 086 - Alternative fuels infrastructure  173: "Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context".	EUR 5,000,000.00  EUR 10,000,000.00  EUR 2,500,000.00  EUR 12,099,051.00	EUR 6,250,000.00  EUR 12,500,000.00  EUR 3,125,000.00  EUR 15,123,814.00
			R C O 1 1 6	Jointly develo ped solutio ns	Soluti ons	-	-	1	18			
			R C O 8 7	Organis ations cooper ating across border s	Organi sation s	-	-	21	343			
			R C R 1	Solutio ns taken up or up-	Soluti ons	0	202 1	-	12			

	<ul style="list-style-type: none"> <li><i>Advancing freight mobility to maximise the efficiency of vehicle utilisation.</i></li> </ul>		04	scaled by organisations								
			PSR1	Organisations with increased institutional capacity due to their participation in cooperation activities across border	Organisations	0	2021	-		468		

ISO6.6 Other actions to support better cooperation on governance	<b>Actions to bring the results of various projects from different EU funding programmes to stakeholders in the region in a structured way:</b> <ul style="list-style-type: none"> <li>• <u>Identifying solutions developed by different Interreg and other EU funded projects relevant for the Baltic Sea region, structuring them and making syntheses;</u></li> <li>• <u>Analysing know-how developed in research and innovation projects as well as experiences with investment projects co-financed from EU funds and combining them;</u></li> <li>• <u>Setting up communication channels and learning tools to spread access to syntheses of solutions;</u></li> <li>• <u>Introducing syntheses of solutions into the daily work of public authorities, specialised agencies and others organisations;</u></li> <li>• <u>Addressing policy-making needs on complex challenges;</u></li> <li>• <u>Reaching out to relevant policy and decision-making authorities and other organisations and providing them streamlined results for more efficient development of policies and governance structures.</u></li> </ul>	EUR 15,750,000.00	RCO87	Organisations cooperating across borders	Organisation	-	-	0	260	173: "Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context".	EUR 12,600,000.00	EUR 15,750,000.00
			PSR1	Organisations with increased institutional capacity due to their participation in cooperation activities across borders	Organisation	0	2021	-	520			
ISO6.1 Institutional capacity	<b>Actions to implement and strengthen governance and</b>	EUR 15,750,000.00	RCO	Organisations cooperating		-	-	4	42	173: "Enhancing institutional capacity of public authorities and stakeholders to	EUR 12,600,000.00	EUR 15,750,000.00

of public authorities	<b>communication activities in the EU Strategy for the Baltic Sea Region:</b> <ul style="list-style-type: none"> <li>• Assistance to the policy area coordinators</li> <li>• Organisation of Strategy forums.</li> <li>• Assistance to a Strategy point.</li> </ul>		118	ating for the multilevel governance of macroregional strategies						implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context".		
			RCR84	Organisations cooperating across borders after project completion		0	2021	-	42			

Table 12: performance framework summary table

## 4. DOCUMENTATION OF ACHIEVEMENTS

### 4.1 Data collection

Data on progresses and achievements towards milestones and targets will be collected **at project level**.

**For RCO 84 – Pilot actions developed jointly and implemented in projects:**

- projects will provide the target values with the Application Form, and report on the final real values with the final report;
- the MA/JS will collect data on target value after contracting the project, via the Application Form; the MA/JS will collect data on real values from the final report.

**For RCO 116 - Joint developed solutions:**

- projects will provide the target values with the Application Form, and report on the final real values with the final report;
- the MA/JS will collect data on target value after contracting the project, via the Application Form; the MA/JS will collect data on real values from the final report.

**For RCR 104 – Solutions taken up or up-scaled by organisations:**

- projects will report real values with the final report;
- the MA/JS will collect data from the final report. Not only the value, but also the names of organisations making use of the solutions and a description of this use will be requested in the final report template.

**For RCO 87 - Organisations cooperating across borders:**

- projects will provide data with the Application Form;
- the MA/JS will collect data after contracting the project, via the Application Form. Throughout the project implementation, the Application Form might be modified, in order to reflect drop-outs and replacements of project partners and associated organisations. These will be considered and tracked for consistent data collection and reporting.

**For PSR 1 – Organisations with increased institutional capacity due to their participation in cooperation activities across borders:**

- projects will report real values with the final report;
- the MA/JS will collect data from the final report. As part of the final report, projects will be in addition requested to describe the outcomes of a survey<sup>7</sup> focusing on PSR 1 “Organisations with increased institutional capacity due to their participation in cooperation activities across borders”. The MA/JS prepared the survey template, in order to collect additional information on projects’ impacts in terms of building/increasing beneficiaries’ capacities. In the template, the institutional capacity sphere is in fact broken down in different dimensions: new knowledge or skills use; new tools adoption; new procedures or workflow adoption; changes organizational structure.

---

<sup>7</sup> See Annex 2: PSR 1 survey template.

**For RCO 118 - Organisations cooperating for the multilevel governance of macroregional strategies:**

- projects will provide the data with the Application Form;
- the MA/JS will collect the data after contracting the project, via the Application Form. Throughout the project implementation, the Application Form might be modified, in order to reflect drop-outs and replacements of project partners and associated organisations. These will be considered and tracked for consistent data collection and reporting.

**For RCR 84 – Organisations cooperating across borders after project completion:**

- projects will report real values with the final report;
- the MA/JS will collect data from the final report. Not only the value, but also the names of the organisations that are part of an agreement to continue cooperation after project end will be requested with the final report.

Interreg Baltic Sea Region MA/JS will install the data assurance systems to guarantee reliability of collected information.

## 4.2 Influencing factors

As explained in chapter 2, the values for milestones and targets are set based on assumptions: as sound as possible, but still assumptions. Inter alia, the assumption on the expected number of projects per programme objective and on how many will be core projects and how many will be core/small projects. Another key assumption is that on the number of pilots and solutions expected by projects. Should the assumptions not be reflected in the actual implementation, consequently the actual values for both outputs and results indicators will be different than expected.

Further factors that could influence the performance of projects, in terms of delivering outputs and results, are presented below.

In addition, several factors could influence also reporting data from projects and collecting it from MA/JS side.

Both these types of factors would impact the achievement and reporting on indicators.

Considerations are made, as based on previous experiences from the Interreg Baltic Sea Region Programme as well as from some reflections and recommendations highlighted in previous Operational Reports, Annual Implementation Reports, external reports on result indicators.

### 4.2.1 On projects performance

Here below some examples of factors, which may have an influence on the achievements, are listed:

- **Organisations in the partnership could change** throughout the projects' and their activities' implementation.
- **Relevant target groups and project beneficiaries could not engage with the outputs** as much as expected<sup>8</sup>.

Beside these factors, which rather concern the projects' setting, external challenges could also occur, for instance:

- **Structural and systemic processes/changes at policy level.**
- **Other political/societal/health/environmental crises/turmoil**, as the covid19 crisis during the 2014-2020 period.

<sup>8</sup> This factor was acknowledged also in Interreg Baltic Sea Region 2020 Internal Operational Evaluation.

The list above is not expected to be exclusive, hence completely exhaustive: other factors which is not possible to forecast at the moment, could still arise and impact the delivery of outputs and results.

The MA/JS will put in place mitigation measures, in order to address these factors. **Guidance** will be provided to applicants **during the application phase**, throughout the consultations. In addition, **support** will be provided to projects **during the implementation phase** via the **regular monitoring** and the “**pilots check-ups**”

#### 4.2.2 On data reporting and collection

Here below some examples of factors, which may have an influence on data reporting and collection, are listed:

- projects may understand wrongly how to count pilots and solutions
- projects may understand wrongly how to count organisations with increased capacity.

**Considerations** on how to avoid these mistakes were already made **by the MA/JS at the stage of indicators selection and in the preparation of the Application Form**. In this respect, attention was paid to try to make the form as easy as possible to understand. Plain language was used and instructions will be given on what applicants are requested to provide as information and data. The MA/JS will put in place further mitigation measures, in order to address these challenges of data reporting and collection stemming from the considerations indicated here above.

Complementary to the **Programme Manual**, the MA/JS will provide guidance to applicants through **webinars, tutorials and consultations**. Consultation with the MA/JS will be obligatory for each applicant and will provide room not only to discuss about the project idea, but also to clarify questions on eventually also reporting.

In addition, a delayed Programme approval timeline, would impact on the calls' timeline. This would result in delays of the overall Programme implementation plan, hence delays in projects start, hence delays also in collection of data on milestones.

## 5. ANNEXES

### Annex 1 Indicator fiche PSR 1 - Organisations with increased institutional capacity due to their participation in cooperation activities across borders

Description	Indicator Code	-
	Indicator Name	Organisations with increased institutional capacity due to their participation in cooperation activities across borders <sup>9</sup>
	Brief definition	The number of organisations that increased their institutional capacity in the thematic field of the project by actively participating in cooperation activities across borders
	Measurement unit	Organisations
	Type	Result
Relevance	Message	This indicator is a proxy for potential improvement in the region in a specific field due to increased competence of relevant actors
	Policy objective	All
	Specific objective	All
	Use with other common output indicators	RCO84, RCO85, RCO87
	Associated result indicators	-
	Link to 2014-2020	-
	Linkages to other Indicators (SDGs)	-

<sup>9</sup> The indicator is complementary to the list of output and result indicators for the ERDF for Interreg. Several programmes (Interreg Europe, Alpine Space, North West Europe, Northern Periphery Arctic, Central Europe, North Sea, Mediterranean, Danube) facilitated by Interact worked jointly on its definition. The Evaluation Unit of the European Commission promised to include the jointly agreed capacity indicator to its guidance to the programmes.



	Contribution to corporate indicators	-
Methodology	Underlying definitions and concepts	<p>This indicator measures the number of organisations that actively participated in cooperation activities of a project across borders and consequently increased their institutional capacity.</p> <p>Institutional capacity is defined as an organisation's ability to set and achieve goals through knowledge, skills, systems and institutions. An organisation increases its institutional capacity by securing the resources (human or technical) and structures (organisational or governance) it needs to perform its mandated tasks better.</p> <p>A cooperation activity across borders is defined as a process of exchanging knowledge and experience between participants from multiple countries. This process can lead to creating joint objectives and commitments and actions fulfilling these commitments.</p> <p>An organisation may or may not be a project partner. Types of organisations include, but are not limited to, public authorities, research institutions, SMEs, and NGOs. An organisation is to be counted if it has undergone this kind of learning process through project activities. This is defined as more than one instance of exchange in which the organisation played an active role.</p> <p>An organisation is to be counted no more than once per project regardless of how many activities it was involved in or how many departments were involved.</p> <p>An organisation is to be counted only if its increased institutional capacity is in the thematic field of the project.</p> <p>Data for this indicator is to be collected via a survey provided by the programme to the project lead partner. The project may decide to translate the survey into local languages if necessary. The project lead partner is responsible for ensuring that the survey is completed by the organisations that participated in project activities. The lead partner is responsible for collating the responses in an overview table that it provides to the programme.</p> <p>The programme is responsible for verifying the consistency of the aggregated data in the overview table. The programme is not responsible for verifying that individual organisations answered the survey accurately.</p>
	Aggregation	-

	Data source	Projects (in an overview table together with the final progress report)
	Time measurement	Project completion
	Reporting	Forecast for selected projects and achieved values
	Risks	-
Governance	Data provider	Managing Authority
	Data management contact	-
	Public availability	Open Data Platform for ESI Funds
Notes		Projects are asked to forecast the value of this indicator in the application phase and then to report the achieved value at project completion.
Example		<p>How institutional capacity is increased through transnational cooperation activities:</p> <p>Example 1</p> <p>18 rescue service organisations in six countries tested existing procedures and communication designed for accidents in the Baltic Sea through a set of joint large-scale exercises. They assessed and further developed these procedures and communication. As a result, they can respond to accidents more effectively in a transnational setting.</p> <p>Example 2</p> <p>27 private and state-owned forest enterprises participated in training courses where they tested guidelines and tools that helped the user better manage streams and rivers in a forest. The training took place in forests owned by some of these enterprises. The participants from five countries provided feedback on the usability of the guidelines and tools, which were then developed further. As a result, the enterprises can make decisions based on a wider knowledge base.</p>

## Annex 2 PSR 1 survey template

### Survey context and guidance

A survey is to be used to collect data for the cross-programme-specific result indicator *Organisations with increased institutional capacity due to their participation in cooperation activities across borders*.

The sample survey template below was developed to ensure consistency across projects and programmes in monitoring this indicator. It was designed to be as simple as possible to serve the purpose stated in the paragraph above.

The programme shall provide this survey to project lead partners. Lead partners are responsible for disseminating the survey to their project partners, which shall then collect survey responses from organisations both within and outside of the project partnership that participated in project activities. The lead partner is responsible for collating the responses in an overview table that it provides to the programme.

The number of respondents answering *Yes* to question 2 is the value of the indicator. Projects shall report this value to the programme along with their final report, i.e. by project completion.

During the application phase, the programme shall provide lead applicants with information about data collection for this indicator to aid them in setting a realistic target.

The project may decide on the format of the survey and how to send it out. The project may decide to translate the survey into local languages.

### Survey template – sample only

[Preamble]<sup>10</sup>

#### 1. Identification

a. Your name and surname: \_\_\_\_\_

---

<sup>10</sup> Each programme can decide if and what to write as an introduction

b. E-mail address: \_\_\_\_\_

c. Organisation name: \_\_\_\_\_

d. Country: \_\_\_\_\_

e. Status in project:<sup>11</sup>

- ☐ Beneficiary
- ☐ Associated organisation
- ☐ Other stakeholder

**2. Did the institutional capacity of your organisation increase as a result of involvement in this project?**

- ☐ Yes
- ☐ No / Not sure

**3. If you answered Yes: How has your organisation changed? *Select all that apply***

- ☐ Used new knowledge or skills

Please describe: \_\_\_\_\_

- ☐ Adopted new tools

Please describe: \_\_\_\_\_

- ☐ Adopted new procedures or workflows

Please describe: \_\_\_\_\_

- ☐ Changed the organisational structure

Please describe: \_\_\_\_\_

---

<sup>11</sup> Each programme can adjust these terms according to the terminology used in their specific programme